

(Also referred to as FORM PTO-1465)

REQUEST FOR EX PARTE REEXAMINATION TRANSMITTAL FORM

Address to:
Mail Stop Ex Parte Reexam
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.: BLO1134-022

Date: July 11, 2003

☒ This is a request for *ex parte* reexamination pursuant to 37 CFR 1.510 of patent number 6,202,052
issued 03/13/2001 The request is made by:

☐ patent owner.☒ third party requester.

- 2.
- ☒
- The name and address of the person requesting reexamination is:

Block Financial Corp., 4400 Main Street, Kansas City, MO 64111

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- 3.
- ☒
- a. A check in the amount of
- \$2520.00
- is enclosed to cover the reexamination fee, 37 CFR 1.20(c)(1);

☐ b. The Director is hereby authorized to charge the fee as set forth in 37 CFR 1.20(c)(1) to Deposit Account No. _____, or☐ c. Payment by credit card. Form PTO-2038 is attached.

- 4.
- ☒
- Any refund should be made by
- ☐
- check or
- ☒
- credit to Deposit Account No.
- 19-4076
-
- 37 CFR 1.28(c). If payment is made by credit card, refund must be to credit card account.

- 5.
- ☒
- A copy of the patent to be reexamined having a double column format on one side of a separate paper is enclosed. 37 CFR 1.510(b)(4)

- 6.
- ☐
- CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table

- 7.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
-
- If applicable, all of the following are necessary.

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

- i.
- ☐
- CD-ROM (2 copies) or CD-R (2 copies); or
-
- ii.
- ☐
- paper

c. ☐ Statements verifying identity of above copies

- 8.
- ☐
- A copy of any disclaimer, certificate of correction or reexamination certificate issued in the patent is included.

- 9.
- ☒
- Reexamination of claim(s)
- 1-20
- is requested.

- 10.
- ☒
- A copy of every patent or printed publication relied upon is submitted herewith including a listing thereof on Form PTO-1449 or equivalent.

- 11.
- ☐
- An English language translation of all necessary and pertinent non-English language patents and/or printed publications is included.

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This collection of information is required by 37 CFR 1.510. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Ex Parte Reexam, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0169 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

12. ☒ The attached detailed request includes at least the following items:

- a. A statement identifying each substantial new question of patentability based on prior patents and printed publications. 37 CFR 1.510(b)(1)
- b. An identification of every claim for which reexamination is requested, and a detailed explanation of the pertinency and manner of applying the cited art to every claim for which reexamination is requested. 37 CFR 1.510(b)(2)

13. ☐ A proposed amendment is included (only where the patent owner is the requester). 37 CFR 1.51 O(e)14. ☒ a. It is certified that a copy of this request (if filed by other than the patent owner) has been served in its entirety on the patent owner as provided in 37 CFR 1.33(c).

The name and address of the party served and the date of service are:

Venable LLP, 1201 New York Avenue NW, Suite 1000, Washington, D.C. 20005-3917Date of Service: July 11, 2003 or☐ b. A duplicate copy is enclosed since service on patent owner was not possible.

15. Correspondence Address: Direct all communication about the reexamination to:

☒ Customer Number:08698**08698**

PATENT TRADEMARK OFFICE

OR

☐ Firm or Individual Name

Address (line 1)

Address (line 2)

City

State

Zip

Country

Telephone

Fax

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- ☐ a. Copending reissue Application No. _____
- ☐ b. Copending reexamination Control No. _____
- ☐ c. Copending Interference No. _____
- ☒ d. Copending litigation styled: _____

SIMPLIFICATION, LLC v. BLOCK FINANCIAL CORPORATION, CA No. 03-355-JJF, District
of Delaware

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Michael Stonebrook
 Authorized Signature

July 11, 2003

Date

Michael Stonebrook
 Typed/Printed Name

53,851

Registration No., if applicable

☐

For Patent Owner Requester

☒

For Third Party Requester